

FORM PTO-1390
(REV 5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING
A FILING UNDER 35 U.S.C. 371**

225/49630

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/763199INTERNATIONAL APPLICATION NO.
PCT/EP99/04535INTERNATIONAL FILING DATE
01 July 1999PRIORITY DATE CLAIMED
19 August 1998TITLE OF INVENTION
Synchronizing Device for a Shift TransmissionAPPLICANT(S) FOR DO/EO/US
MAIER, Winfried

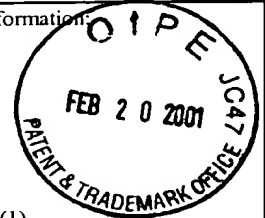
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
- a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☒ has been transmitted by the International Bureau
- c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
- a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☐ have been transmitted by the International Bureau.
- c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
- d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (UNEXECUTED)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Item 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
- ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☒ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

Form PCT/IB/308



09763199, 042501

U.S. APPLICATION NO. 09/763199		INTERNATIONAL APPLICATION NO. PCT/EP99/04535	ATTORNEY'S DOCKET NUMBER 225/49630
17. [X] The following fees are submitted:			CALCULATIONS
Basic National Fee (37 CFR 1.492(a)(1)-(5)):			
Search Report has been prepared by the EPO or JPO \$860.00			\$860.00
International preliminary examination fee paid to USPTO (37 CFR 1.482) \$690.00			
No international preliminary examination fee paid to USPTO (37 CFR 1.482)			
but international search fee paid to USPTO (37 CFR 1.445(a)(2) \$710.00			\$0.00
Neither international preliminary examination fee (37 CFR 1.482) nor			
international search fee (37CFR 1.445(a)(2) paid to USPTO \$1000.00			
International preliminary examination fee paid to USPTO (37 CFR 1.482)			
and all claims satisfied provisions of PCT Article 33(2)-(4) \$100.00			
ENTER APPROPRIATE BASIC FEE AMOUNT =			\$860.00
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			\$
Claims	Number Filed	Number Extra	Rate
Total Claims	36-20=	16	X \$18.00
Independent Claims	3-3=	0	X \$80.00
Multiple dependent claims(s) (if applicable)		+ \$270.00	
TOTAL OF ABOVE CALCULATIONS =			\$
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).			\$
SUBTOTAL =			\$1148.00
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$
TOTAL NATIONAL FEE =			\$1148.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28,3.31). \$40.00 per property +			
TOTAL FEE ENCLOSED =			\$1148.00
			Amount to be: refunded \$
			charged \$
a. [X] A check in the amount of \$1148.00 for the filing fee is enclosed.			
b. [] Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.			
c. [X] The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. <u>05-1323</u> . A duplicate copy of this sheet is enclosed.			
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.			
SEND ALL CORRESPONDENCE TO: Evenson, McKeown, Edwards & Lenahan, P.L.L.C. 1200 G Street, N.W., Suite 700 Washington, D.C. 20005 Tel. No. (202) 628-8800 Fax No. (202) 628-8844			
			SIGNATURE Donald Evenson
			NAME 26, 160
			REGISTRATION NUMBER February 20, 2001
			DATE

Attorney Docket: 225/49630
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Winfried Maier

Serial No.: Not Yet Assigned PCT No.: PCT/EP99/04535

Filed: December 14, 2000

Title: SYNCHRONIZING DEVICE FOR A SHIFT TRANSMISSION

SUBMISSION OF SUBSTITUTE SPECIFICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Attached is a Substitute Specification and a marked-up copy of the original specification. I certify that said substitute specification contains no new matter and includes the changes indicated in the marked-up copy of the original specification.

Respectfully submitted,

February 20, 2001



Donald D. Evenson
Registration No. 26,160

DDE:tvvg

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